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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant: Carlucci  
Serial No.: 10/686,497  
Filed: October 15, 2003  
For: HAIR TREATMENT SYSTEM AND METHOD  
Art Unit: 3732  
Examiner: Not yet assigned  
Confirmation No.: 3536  
Customer No.: 27,623



Attorney Docket No.: 884.0209USU  
Date: July 15, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

1. Supplemental Information Disclosure Statement with copies of references;
2. PTO Form 1449;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Charles N.J. Ruggiero".

Date: July 15, 2004

Charles N.J. Ruggiero  
Reg. No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, , ON JULY 15, 2004.

Allison Berkman  
NAME

Allison Berkman  
SIGNATURE

7/15/04  
DATE



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

Pursuant to the waiving of the requirement of 37 CFR 1.98 (a)(2)(i), copies of the listed U.S. references are not enclosed. The cited foreign references in the attached PTO 1449 are enclosed.

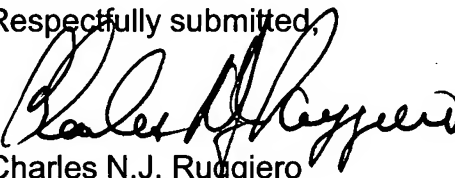
It is applicant's belief that none of the above citations describe that which is claimed in the present invention.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

Since this Information Disclosure Statement is being filed prior to the issuance of the first Office Action based on the merits, no petition or fee is required.

Applicant respectfully requests favorable consideration and that this application be passed to allowance.

Respectfully submitted,



Charles N.J. Ruggiero

Reg. No. 28,468

Attorney for Applicant

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

(203) 327-4500

FORM PTO-1449

Docket Number (Optional)

Application Number

884.0209USU

10/686,497

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

Vito James Carlucci

Filing Date

October 15, 2003

Group Art Unit

3732

(Use several sheets if necessary)

## U. S. PATENT DOCUMENTS

EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,858,252	1/7/75	Ejchorszt	4	145	
	4,171,094	10/16/79	Halfen	239	752	
	4,274,400	6/23/81	Baus	601	169	
	4,282,612	8/11/81	King	4	601	
	4,340,177	7/20/82	Huber	239	242	
	4,809,369	3/7/89	Bowden	4	615	
	4,944,457	7/31/90	Brewer	239	242	
	5,035,010	7/30/91	Sakamoto et al.	4	567	
	5,205,490	4/27/93	Steinhardt et al.	239	449	
	5,299,743	4/5/94	Sieth et al.	239	248	
	5,321,860	6/21/94	Steinhardt et al.	4	601	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	0 056 647	28.07.82	Europe				X
	0 723 814 A2	31.07.96	Europe			X	

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)			Docket Number (Optional) 884.0209USU		Application Number 10/686,497	
			Applicant Carlucci			
			Filing Date October 15, 2003		Group Art Unit 3732	

U. S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,662,270	9/2/97	Scarano	239	264	
	5,704,547	1/6/98	Golan et al.	239	11	
	5,816,500	10/6/98	Scarano	239	264	
	6,148,453	11/21/00	Sartor	4	615	
	6,460,203	10/8/02	De Simone	4	606	
	2002/0144341	10/10/02	Zieger	4	605	
	3,419,017	3/15/65	Solomon et al.			
	3,768,491	10/30/73	Barradas	132	36 R	
	3,871,388	3/18/75	Leoci	132	9	
	3,921,648	11/25/75	Barradas	132	37R	
	2002/0036000	3/28/02	Hirata et al.	132	224	

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,113,338	9/12/78	Spaulding	439	406	
	4,242,567	12/30/90	Carter	219	225	
	4,671,302	6/9/87	Hill	132	212	
	4,849,593	7/18/89	Hughes et al.	219	759	
	5,228,465	7/20/93	Hill	132	212	
	5,294,777	3/15/94	Denhup	219	225	
	5,325,678	7/5/94	Borah et al.	62	126	
	5,706,839	1/13/98	Patti	132	277	
	5,848,599	12/15/98	Todd	132	221	
	5,861,607	1/19/99	Jarrett	219	225	
	5,934,293	8/10/99	Kaizuka	132	225	

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	6,053,178	4/25/00	Todd	132	208	
	6,119,702	9/19/00	Hubibi	132	224	
	6,173,718	1/16/01	Okumoto et al.	132	224	
	6,191,387	2/20/01	Smal	219	225	
	6,223,753	5/1/01	Lo	132	224	
	6,622,735	9/23/03	Hurata et al.	132	224	
	Re.30,866	2/16/82	Barradas	132	227	
	411,333	6/22/99	Smal	D28	35	

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